

Table of Contents

About the Authors	vii
List of Annexes	xxix
Introduction – Word of the ICAS/CAS President	xxxiii
Foreword	xxxv
Preface and Acknowledgements	xxxvii
List of Abbreviations	xxxix
CHAPTER 1	
Introduction: The International Council of Arbitration for Sport (ICAS) and the Court of Arbitration for Sport (CAS): 30 Years of History	1
§1.01 Creation	1
§1.02 Organization of the CAS from Its Creation until 1994	2
§1.03 The 1994 Reform	3
§1.04 The Paris Agreement	4
§1.05 The Code of Sports-Related Arbitration of 22 November 1994	4
§1.06 The International Council of Arbitration for Sport (ICAS)	5
§1.07 The Court of Arbitration for Sport (CAS)	5
§1.08 Decentralized Offices, Alternative Hearing Centres and Ad Hoc Divisions	6
§1.09 The Future of the Institution	7
CHAPTER 2	
Statutes of the Bodies Working for the Settlement of Sports-Related Disputes	9
[A] Joint Dispositions	9
[B] The International Council of Arbitration for Sport (ICAS)	10
[1] Composition	10

Table of Contents

	[2] Attributions	10
	[3] Operation	12
[C]	The Court of Arbitration for Sport (CAS)	13
	[1] Mission	13
	[2] Arbitrators and Mediators	13
	[3] Organisation of the CAS	14
[D]	Miscellaneous Provisions	15
PROCEDURAL RULES		
A	General Provisions	17
R27		
	Application of the Rules	19
I	Article R27: Introduction	21
	[A] General Scope of Article R27 and Delimitation from Other Articles of the Code	21
	[B] Chapter 12 of the Swiss PILA and Relation with the Swiss CCP	23
II	CAS Jurisdiction: Arbitration Clause in Favour of the CAS	24
	[A] General Issues	24
	[B] Autonomy of the Arbitration Clause	25
	[C] Jurisdiction of the Panel to Rule on Its Own Jurisdiction (Kompetenz-Kompetenz)	27
	[D] Form, Material Conditions and Interpretation Criteria for the Validity of the Arbitration Agreement	28
	[1] Form of the Arbitration Clause	28
	[2] Material Conditions for the Validity of the Arbitration Clause	30
	[3] Interpretation Criteria for the Arbitration Agreement	31
	[E] Express-, Tacit Arbitration Agreement, and Arbitration Agreement by Reference	33
	[1] Tacit Arbitration Agreement and Appeal to CAS as an Offer to Arbitrate	33
	[2] The Validity of the Arbitration Clause by Reference	35
	[3] Arbitration Clause Contained in the Entry Form Prior to a Competition	37
	[4] Direct and Indirect Arbitration Clause in Football Cases	38
	[5] Disputes Related to an Anti-Doping Rule Violation and Validity of the Arbitration Clause	41
	[6] Arbitration Agreement in Purely National Disputes Brought before the CAS	44
III	Nature of the Dispute and Arbitration Procedure: Ordinary and Appeal Procedures	45
IV	Questions Related to Jurisdiction, to Arbitrability and to Legal Standing	46
	[A] Introduction	46

Table of Contents

	[B] Jurisdiction <i>Ratione Materiae</i> : Sports-Related Dispute and Rules of Law / Rules of the Game	49
	[1] Qualification of a ‘Sports-Related’ Dispute under Article R27	49
	[2] Ratione Materiae Conditions Included in the Rules of the Federations / Sports Associations	50
	[3] Arbitrability under Article 177 PILA	51
	[4] Arbitrability of Sports Disputes of Commercial and Disciplinary Nature	53
	[5] Arbitrability of the Dispute if a Foreign Law Prohibits Recourse to Arbitration	54
	[6] Distinction between Rules of the Game and Rules of Law	56
V	Annexes	58
	[A] Example of an Arbitration Clause by Reference	58
	[B] Example of an Express Arbitration Clause	58
	[C] Standard Clauses in Favour of the CAS	59
	[D] IBA Guidelines for Drafting International Arbitration Clauses of 2010 – Checklist	60
	[E] Football: Process in Case of Disputes of International Dimension according to the RSTP (2012)	60
	[F] Football: Process in Case of Disputes of National Dimension according to the FIFA RSTP (2012)	61
	[G] Doping: Appeal Venue for National- and International Level Athletes according to the WADC (2015)	61
	[H] Jurisdiction, Arbitrability, Admissibility and Standing	62
R28		
Seat		63
I	Article R28: Introduction	64
	[A] Definition of the Seat of the Arbitral Tribunal and Article R28 CAS Code	64
	[B] Seat of the Arbitral Tribunal in Other Arbitration Rules	65
	[C] Article R28 CAS Code and Article 176 of the Swiss PILA	66
II	Implications Arising from the Seat of the CAS in Lausanne	68
	[A] Distinction between the Seat of the Arbitral Tribunal and the Place of the Hearing	68
	[B] Impact of the Seat of the CAS on the Conduct of the Hearing and Other Procedural Issues	69
	[1] Seat of the CAS and Appeal to the SFT	69
	[2] Seat of the Arbitral Tribunal and Control of the Arbitrability of the Dispute	70
	[3] Article R28 of the Code and Power of the Panel to Rule on Its Own Jurisdiction	71
	[4] Article R28 CAS Code and Application of the Mandatory Rules of Another National Law	71

Table of Contents

	[5] Seat of the Arbitral Tribunal, Validity of the Arbitration Agreement and the New York Convention	72
	[6] Seat of the CAS and Provisional Measures	73
III	Advantages from the Establishment of the Seat of the CAS in Lausanne	74
R29		
	Language	75
I	Article R29: Introduction	76
	[A] Content of Article R29 CAS Code	76
	[B] Language of the Procedure in Other Arbitration Rules	79
II	Determination of the Language of the Procedure before the Constitution of the Panel	80
	[A] Determination of the Language of the Procedure by Means of an Order	80
	[B] Criteria for the Determination of the Language of the Procedure	80
	[1] Choice of English or French in the Absence of an Agreement between the Parties	80
	[2] Language of the Contract / the Decision Appealed against and Language of the Procedure	81
	[3] Citizenship, Mother Tongue of the Parties and Language of the Parties' Counsel	83
	[C] Acceptance of More Than One Language by the CAS Panel	83
	[D] Translation of Documents	83
	[E] Impact of the Language on the Conduct of the Hearing	84
	[1] Inadmissibility of Documents Submitted in a Foreign Language and Excessive Formalism	84
	[2] Translation of the Parties' Names and Inadmissibility of the Appeal	85
	[3] Modification of the Appointment of an Arbitrator	85
III	Final Remarks	86
IV	Annexes	87
	[A] Example of an Order on Language Rendered by CAS	87
	[B] Figure 1: Language of the Proceedings before CAS	89
R30		
	Representation and Assistance	91
I	Article R30: Introduction	92
II	Content of Article R30 CAS Code and Comparison to Other Arbitration Rules	92
	[A] Article R30 and Amendments of the CAS Code	92
	[B] Parties' Representation in Other Arbitration Rules	94
III	Production of the Power of Attorney	95
IV	Issues Relating to Parties' Representation Drawn from the CAS Case Law	96

Table of Contents

	[A] Choice of a Party Not to Engage a Legal Representative and Inadequate Legal Advice	96
	[B] Time Limit to Appeal to the CAS in Case of Representation	96
	[C] Legal Representation and Standing to Lodge an Appeal before CAS	97
V	Costs of Legal Representation and the Creation of a Legal Aid Fund	97
VI	Annexes	99
	[A] Legal Aid Application Form	99
	[B] Legal Aid Guidelines (2013)	102
	[C] Statistics on Legal Aid	105
R31	Notifications and Communications	107
I	Article R31: Introduction	109
	[A] Content of Article R31 and Definition of ‘Notifications’ and ‘Communications’	109
	[B] History of Article R31 through the Amendments of the CAS Code	110
	[C] Notifications and Communications from the CAS or the Panel to the Parties	111
	[1] Notification ‘by Any Means Permitting Proof of Receipt’	111
	[2] Address Used by the CAS Court Office for the Notifications and Communications to the Parties	112
	[3] Proper Notification of a Written Communication to the Parties and Appeal to the CAS	113
	[D] Communications Addressed from the Parties to the CAS / Panel: Electronic Filing	115
	[1] Number of Copies of the Parties’ Submissions	116
	[2] Sending of the Exhibits to the Parties’ Submissions via Electronic Mail	116
R32	Time Limits	119
I	Article R32: Introduction	120
II	Time Limits under Article R32 CAS Code and CAS Case Law	122
	[A] Time Limits ‘Fixed under This Code’	122
	[B] Calculation of the Time Limits under Article R32 and Relation to Article R49 CAS Code	123
	[C] Failure to Comply with the Time Limits and Possibility to Request an Extension	126
	[D] Suspension of Pending Arbitration Procedures	129
III	Annexes	131
	[A] Indicative Calculator of Time Limits Based on Article R32 CAS Code	131

Table of Contents

	[B] Indicative Time Limit to File the Statement of Appeal Pursuant to Article R49 CAS Code	131
	[C] Sample Letter Sent by the CAS to Extend the Time Limit for Filing the Answer to the Appeal	132
R33		
	Independence and Qualifications of Arbitrators	133
I	Article R33: Introduction	134
	[A] Meaning of Independence under Article R33 CAS Code	134
	[B] Distinction between Independence and Impartiality	136
	[C] The Independence of Arbitrators in Other Rules and in Article R33 CAS Code	137
	[D] Is Same Degree of Independence Required for ‘Party-Appointed’ Arbitrators and for the Chairman of the Panel?	138
II	Independence of Arbitrators as Viewed by the CAS and the SFT	139
	[A] Independence according to the Perception of a Reasonable Observer	139
	[B] Relationship of Subordination or Significant Economic Affiliation	139
	[C] Strong Positive or Negative Emotional Bonds between the Arbitrator and One Party or Its Counsel	141
	[D] Recurrent Appointments of Arbitrators by the Same Party	142
	[E] Nationality of Arbitrators	143
	[F] The Application of the IBA Guidelines and the Specificities of Sports Arbitration	144
	[G] The ‘Prohibition of the Double-Hat’ under the Amended Article S18 CAS Code	145
III	The Obligation of Disclosure under Article R33 CAS Code	146
	[A] Purpose of the Duty to Disclose and Facts That Have to Be Disclosed	146
	[B] Consequences of the Breach of the Arbitrator’s Duty of Disclosure	147
IV	Conditions for Being Appointed in a CAS Procedure	148
	[A] General Condition: The Mandatory List of CAS Arbitrators	148
	[B] Good Command of the Language of the Arbitration	149
	[C] Availability to Expeditiously Complete the Arbitration	150
V	Annexes	151
	[A] Declaration of Independence	151
	[B] Ad Hoc Clerk’s Declaration of Independence	152
	[C] Guidelines for CAS Arbitrators Sent to CAS Arbitrators Together with the Letter of Appointment	153
	[D] Application Form for CAS Membership	155

Table of Contents

R34		
Challenge		157
I	Article R34: Introduction	158
II	Procedural Issues Related to the Challenge of an Arbitrator in CAS Arbitration	160
	[A] Time Limit to File the Petition for Challenge	160
	[B] Powers of the ICAS Board to Discuss Challenges	162
	[C] Formal Conditions for the Challenge and Procedure before CAS	163
III	Publication of the Reasoned Decisions on Challenge	163
IV	Review of the Decision on Challenge by the SFT	164
	[A] The Finality of the Decision on Challenge of an Arbitrator	164
	[B] Power of the SFT to Order the Removal of an Arbitrator	164
	[C] Factual Basis for the Judgment of the SFT	165
V	Annexes	166
	[A] Example of a Decision Rendered by the ICAS on the Petition for Challenge	166
	[B] Decision Rendered by the ICAS on a Petition for Challenge	169
R35		
Removal		181
I	Article R35: Introduction	181
II	Conditions Prone to Lead to the Removal of Arbitrators	183
III	The Removal Procedure: Exclusive Competence of the ICAS / The ICAS Board	184
R36		
Replacement		185
I	Article R36: Introduction	186
II	Cases in Which an Arbitrator Is Replaced by Another Arbitrator	186
	[A] Death, Challenge, Removal	186
	[B] Resignation	187
	[1] The Relationship between the Arbitrator and the Parties	187
	[2] Resignation of an Arbitrator for Valid Reasons	187
	[3] Replacement of an Arbitrator after His Valid Resignation	189
III	Procedural Aspects Related to the Replacement of an Arbitrator	190
	[A] Application of the Provisions Applicable to the Appointment of the Arbitrator	190
	[B] Continuation of the Proceedings in Case of Replacement of an Arbitrator	191
IV	Annex	192
	[A] Illustrative Table on Articles R33-R36 CAS Code	192

Table of Contents

R37		
Provisional and Conservatory Measures		195
I	Article R37: Introduction	197
II	Provisional Measures according to the CAS Rules and Other Arbitration Rules and Laws	199
III	The Power of the CAS to Order Provisional Measures and the Waiver of the Jurisdiction of State Courts	201
IV	The Procedure for Provisional Measures under Article R37 CAS Code	202
	[A] Application by a Party and Position of the Opponent and the Filing of the CAS Court Office Fee	202
	[B] Exhaustion of Legal Remedies and Prima Facie Control of CAS Jurisdiction	204
	[C] Time Limit to Issue an Order: Cases of Utmost Urgency and Right to Be Heard	205
V	Substantive Requirements for Granting the Provisional Measures according to the CAS Case Law	207
	[A] Irreparable Harm	208
	[B] Likelihood of Success to the Merits	211
	[C] Balance of Interests	212
VI	Enforcement of Provisional Measures and Provision of Security	214
	[A] Enforcement by the Arbitrator / the Division President	214
	[B] Provisional and Conservatory Measures Conditional upon the Provision of Security	214
VII	Annexes	217
	[A] Example of an Order Granting the Provisional Measure Requested	217
	[B] Example of an Order Dismissing the Request for Provisional Measures	222
B	Special Provisions Applicable to the Ordinary Arbitration Procedure	225
R38		
Request for Arbitration		227
I	Article R38: Introduction	228
II	The Request for Arbitration	229
	[A] General	229
	[B] Request for Arbitration and Commencement of the Proceedings	231
	[C] Content of the Request for Arbitration in Detail	231
	[1] The Name and Full Address of the Respondent(s)	231
	[2] A Brief Statement of the Facts and Legal Argument, Including a Statement of the Issue to Be Submitted to the CAS for Determination and the Request for Relief	232
	[3] A Copy of the Contract Containing the Arbitration Agreement or of Any Document Providing for Arbitration	233

Table of Contents

	[4] Number of Arbitrators and Language of the Proceedings	233
	[D] The Court Office Fee Provided in Article R64.1	234
III	Consequences from the Non-fulfilment of the Conditions Enumerated under Article R38	234
IV	Annexes	236
	[A] Sample CAS Letter Following a Request for Arbitration	236
	[B] Statistics on the Registered Ordinary Procedures from 2004 to First Half of 2014	239
	[C] Example of a Request for Arbitration	239
	[D] Checklist for Request for Arbitration under Article R38 CAS Code	241
R39		
	Initiation of the Arbitration by CAS and Answer: CAS Jurisdiction	243
I	Article R39: Introduction	245
II	Initiation of the Arbitration by the CAS, Answer, and Consolidation of the Proceedings	245
	[A] Initiation of the Arbitration by the CAS	245
	[B] Time Limits of the Answer to the Request for Arbitration and the Choice of Arbitrators	246
	[C] Extension of the Time Limit until the Payment of the Advance of Costs and Counterclaims	247
	[D] Content of the Answer to the Request for Arbitration and Counterclaims	249
	[E] Consolidation of the Proceedings (Article R39 Last Paragraph CAS Code)	250
III	Control of CAS Jurisdiction and Article R39	252
	[A] Power of the Panel to Rule on Its Own Jurisdiction: Kompetenz-Kompetenz	252
	[B] Lis Pendens	253
	[C] Objection to the CAS Jurisdiction: Procedure	254
IV	Annexes	254
	[A] Example of an Answer to the Request for Arbitration under Article R39 CAS Code	254
	[B] Initiation of the Arbitration by CAS	257
	[C] Three-Stages Control of CAS Jurisdiction	258
	[D] Checklist: Answer to the Request	259
R40		
	Formation of the Panel	261
I	Article R40: Introduction	263
	[A] Article R40 and the Appointment of the Arbitral Tribunal	263
	[B] The Choice of an Arbitrator from the CAS List of Arbitrators	264

Table of Contents

II	Procedure for the Appointment of Arbitrators according to the Composition of the Arbitral Tribunal	266
	[A] Constitution of the Panel: General Criteria for Appointing an Arbitrator by the President of the Division	266
	[B] Appointment of a Sole Arbitrator: Criteria and Procedure	269
	[C] Appointment of a Panel of Three Arbitrators: Criteria and Procedure	270
III	Confirmation of the Arbitrators and Transfer of the File	271
IV	Ad Hoc Clerks before CAS: Role, Duties and Limitations	272
V	Annexes	274
	[A] Statistics on the Constitution of the Panel Based on the Registered Cases before CAS	274
	[B] Constitution of the Panel according to Article R40	275
	[C] Sample Notice of Formation of a Panel	275
R41		
	Multiparty Arbitration	277
I	Article R41: Introduction	280
II	Plurality of Claimants or / and Respondents and Constitution of the Panel	283
III	Joinder (Article R41.2) and Intervention (Article R41.3)	285
	[A] General Conditions	285
	[1] Binding Arbitration Agreement or Consent of All Parties	285
	[a] General	285
	[b] Article R41.4 CAS Code and Swiss Law	287
	[2] Case Law on the Arbitration Agreement and the Consent of the Parties	289
	[3] Prima Facie Existence of an Arbitration Agreement between the Parties	291
	[4] Legal Interest of the Participant in the Arbitration Proceedings	291
	[B] Procedure regarding the Joinder of a Third Party by the Respondent	292
	[C] Procedure regarding the Intervention of a Third Party	294
IV	Admissibility of the Amicus Curiae Briefs	297
	[A] General	297
	[B] Admissibility of the Amicus Curiae Briefs in the CAS Code	299
V	Multiparty Arbitration in the Olympic Games	301
VI	Annexes	302
	[A] Multiparty Arbitration in the CAS Code	302
	[B] Intervention – Participation of a Third Party to the Arbitration	303
	[C] Joinder of a Third Party by the Respondent	303
	[D] Constitution of the Panel in Multiparty Arbitration	304

Table of Contents

R42		
Conciliation		305
I	Article R42: Introduction	305
II	Conciliation in Other Arbitration Rules	308
III	Conciliation Proceedings and CAS Case Law: Practical Issues	308
IV	Annex	310
	[A] Statistics on Consent Awards Rendered by CAS	310
R43		
Confidentiality		311
I	Article R43: Introduction	311
II	Overview of Article R43 and Comparison to Article R59 CAS Code	312
III	Confidentiality in the Different Stages of the Arbitral Procedure	314
	[A] Confidentiality of the Deliberations	315
	[B] Confidentiality of the Award	317
	[C] Violation of the Principle of Confidentiality	318
IV	Conclusive Remarks	319
R44		
Procedure before the Panel		321
I	Article R44: Introduction	325
II	Written Procedure: Content and Time Limit	326
III	Oral Procedure	328
	[A] Necessity to Have a Hearing	328
	[B] Conduct of the Hearing	329
IV	Witnesses and Experts Heard by the Panel	331
	[A] General	331
	[B] Witnesses and Experts Heard by the Panel Based on Article R44.2	334
	[C] Evidentiary Proceedings Ordered by the Panel according to Article R44.3	334
	[1] General	334
	[2] Production of Evidence Requested by a Party and Right to Be Heard	336
	[3] Production of Additional Evidence Ordered by the Panel	337
V	Expedited Procedure: Conditions	339
VI	Default	339
	[A] Non-submission of the Statement of Claim or the Response	339
	[B] Non-presence of the Parties / Witnesses at the Hearing	340
VII	Annexes	342
	[A] Ordinary Procedure: Overview	342
	[B] Written Submissions: Different Steps and Content	343
	[C] Evidentiary Measures	343
	[D] Directions with Respect to CAS Hearings: Checklist	344
	[E] Example of an Expert's Declaration of Independence	345

Table of Contents

[F]	Example of an Order of Procedure in Ordinary Arbitration Procedures	345
R45		
	Law Applicable to the Merits	349
I	Article R45: Introduction	349
II	Law Chosen by the Parties in Ordinary Procedures	350
	[A] Express Choice of Law Made by the Parties	351
	[B] Tacit Choice of Law and the Will of the Parties	352
III	Application of Swiss Law in the Absence of a Choice by the Parties	354
IV	Authorization to Decide <i>Ex Aequo Et Bono</i>	355
R46		
	Award	357
I	Article R46: Introduction	358
II	Conditions for the Issuance of the Award	359
	[A] Award Written, Dated and Signed	359
	[B] Award Containing Brief Reasons	361
	[C] Deliberations of the Panel	364
	[D] Award Taken by Majority: Dissenting Opinions	365
	[1] Award Taken by Majority	365
	[2] Dissenting Opinions	365
	[E] Proofreading by the Secretary General	366
III	Notification of the Award: Effects	367
	[A] General	367
	[B] Finality of the Award in Terms of Articles R46 and R59	368
	[1] Finality of the Award and Appeal to the SFT	368
	[2] Appeal against Partial / Interlocutory Awards to the SFT	371
	[C] Possibility to Waive the Appeal to the SFT	374
	[D] No Stare Decisis of CAS Case Law	375
C	Special Provisions Applicable to the Appeal Arbitration Procedure	377
R47		
	Appeal	379
I	Article R47: Introduction	380
II	The Conditions of Admissibility and Jurisdiction of the Appeal	382
	[A] General	382
	[B] Notion of a Decision in Terms of Article R47	383
	[1] Content and Form of the Decision	383
	[2] Denial of Justice and 'Decision' in Terms of Article R47	387
	[3] Conclusion	388
	[C] Arbitration Clause or Arbitration Agreement	389
	[D] Exhaustion of Legal Remedies	390

Table of Contents

III	Appeals against Awards Rendered by CAS Acting as a First-Instance Tribunal	394
IV	Annexes	395
	[A] Notion and Characteristics of a Decision within the Meaning of Article R47 of the CAS Code	395
	[B] Example of a ‘Premature’ Appeal	395
	[C] Table Indicating the Respective Provisions in Ordinary / Appeal Procedures in the CAS Code	399
	[D] Indicative Statistics on the CAS Registered Appeal Procedures	401
R48	Statement of Appeal	403
I	Article R48: Introduction	404
	[A] Overview of Article R48 of the CAS Code	404
	[B] Comparison to Article R38 on the Request for Arbitration in Ordinary Procedures	405
II	Content of the Statement of Appeal and Consequences of the Non-conformity with the Requirements of Article R48	407
	[A] General	407
	[B] The Name and Full Address of the Respondent(s)	408
	[1] General	408
	[2] Standing to Be Sued in CAS Appeals and Article 75 Swiss CC	411
	[C] Copy of the Decision Appealed against	413
	[D] The Appellant’s Request for Relief	414
	[E] Nomination of Arbitrator by Appellant	415
	[F] Request for a Stay of the Appealed Decision	416
	[G] A Copy of the Contract Containing the Arbitration Agreement or of Any Document Providing for Arbitration	416
	[H] The Court Office Fee Provided in Article R64.1	417
	[I] Consequences from the Non-fulfilment of Article R48	417
III	Annexes	419
	[A] Statistics on the Registered CAS Procedures from 1995 to 2013	419
	[B] Example of a Statement of Appeal under Article R48 CAS Code	419
	[C] Checklist for the Statement of Appeal under Article R48 CAS Code	421
	[D] Notification of the Statement of Appeal	421
R49	Time Limit for Appeal	425
I	Article R49: Introduction	426
II	Calculation of the Time Limit to Appeal and Relation to Article R32 CAS Code	428
III	Legal Nature of the Time Limit to File the Statement of Appeal	430

Table of Contents

	[A] Nature of Article R49 and Consequences from the Failure to Comply with the Time Limits	430
	[B] Submissions Made by the Other Parties before the Decision	432
	[C] Reinstatement of the Time Limit to File the Statement of Appeal	433
	[D] Request for Extension in Case of Reconsideration of the Case before the Previous Instance	434
	[E] Conflict with Article 75 of the Swiss Civil Code	435
IV	Annexes	436
	[A] Examples of the Time Limit to Appeal to CAS in the Rules of Federations	436
	[B] Indicative Time Limit to File the Statement of Appeal Pursuant to Article R49 CAS Code	437
	[C] Termination Order for an Appeal Filed Late: CAS 2010/A/2183 <i>R. v. NADO</i>	438
R50		
	Number of Arbitrators	441
I	Article R50: Introduction	442
II	Number of Arbitrators	442
	[A] Panel of Three Arbitrators as the Rule	442
	[B] Conditions for the Appointment of a Sole Arbitrator	444
III	Submission of Cases Involving the Same Issues to the Same Panel: Distinction from Consolidation under Article R52	446
IV	Annex	447
	[A] Constitution of the Panel in Appeal Procedures (Articles R50, R53, R54)	447
R51		
	Appeal Brief	449
I	Article R51: Introduction	450
II	Time Limit to File the Appeal Brief	451
III	Content of the Appeal Brief	452
	[A] Brief Stating the Facts and the Legal Arguments and Names of Witnesses/Experts	452
	[B] Request of Other Evidentiary Measures	453
IV	Annexes	455
	[A] Appeal Procedure: Overview	455
	[B] Appeal Procedure: Different Steps and Content	456
	[C] Notification of the Receipt of the Appeal Brief by the CAS	456
	[D] Sample Declaration of Acceptance and Independence of Experts Appointed by the CAS Panel	457
R52		
	Initiation of the Arbitration by the CAS	459
I	Article R52: Introduction	460

Table of Contents

II	Initiation of the Arbitration	461
	[A] Clear Lack of Arbitration Agreement	461
	[B] Actions to Set the Arbitration in Motion	462
III	Expedited Procedure: Conditions	463
IV	Conditions for the Consolidation of the Proceedings and Difference from Article R50	464
V	Annexes	466
	[A] Initiation of the Appeal Arbitration Proceedings by CAS	466
	[B] Three-Stages Control of CAS Jurisdiction	467
	[C] Letter, for Information, to the Authority That Rendered the Decision Appealed against	467
	[D] Letter Sent by the CAS Court Office Confirming the Expedited Proceedings	468
R53	Nomination of Arbitrator by the Respondent	471
I	Article R53: Introduction	471
II	Number of Arbitrators in Appeal Procedures	472
III	Appointment of an Arbitrator by the Respondent or the Division President	473
IV	Annex	475
	[A] Statistics on the Constitution of the Panel Based on the Registered Cases before CAS	475
R54	Appointment of the Sole Arbitrator or of the President and Confirmation of the Arbitrators by CAS	477
I	Article R54: Introduction	478
II	Appointment of the Sole Arbitrator or the President of the Panel by the Division President	479
III	Confirmation of the Arbitrators and Transfer of the File	479
IV	Appointment of Ad Hoc Clerks in Appeal Proceedings	480
V	Multiparty Arbitration in Appeal Procedures	481
VI	Annex	482
	[A] Notice of Formation of the Panel	482
R55	Answer of the Respondent: CAS Jurisdiction	483
I	Article R55: Introduction	484
II	Time Limit for the Answer and Possibility to Request an Extension	485
III	Content of the Answer	486
IV	Jurisdictional Issues Related to R55	488
	[A] Power of the Panel to Rule on Its Own Jurisdiction: Kompetenz-Kompetenz	489
	[B] <i>Lis Pendens</i>	490

Table of Contents

V	Annexes	491
	[A] Example of an Answer to the Appeal under Article R55 CAS Code	491
	[B] Initiation of the Appeal Arbitration Proceedings by CAS	493
	[C] Checklist: Answer to the Appeal	494
R56		
	Appeal and Answer Complete: Conciliation	495
I	Article R56: Introduction	496
II	No Right to Supplement the Parties' Submissions after the Appeal Brief and the Answer: Rule and Exceptions	496
	[A] Meaning and Interpretation of the Rule Established under Article R56	496
	[B] Late Submissions and 'Exceptional Circumstances'	497
III	Particularities of Conciliation in Appeal Procedures	499
R57		
	Scope of Panel's Review: Hearing	503
I	Article R57: Introduction	505
	[A] Short History of Article R57 through the Amendments of the CAS Code	505
II	'Full Power of Review – The Facts and the Law' through a De Novo Hearing	506
	[A] Legal Basis of Article R57 and Rationale of the De Novo Review	506
	[B] Meaning and Repercussions of the CAS' Full Power of Review of the Appealed Decision	507
	[C] Power to Issue a New Decision	509
	[D] Power to Revert the Case Back to the Previous Instance	510
III	Consequence of the Full Power of Review: Healing Effect of Procedural Irregularities Occurred in the Previous Instance	511
	[A] General Remarks	511
	[B] Healing Effect of Procedural Irregularities Occurred in the Previous Instance	513
	[C] Serious Procedural Defects That Might Not Be Healed through a De Novo Hearing before CAS	514
IV	Other Legal and Practical Limitations to the CAS' Full Power of Review	516
	[A] Restrictions Set by the International Federations	516
	[B] Full Power to Review and Relationship with Article 75 CC and Article R57 CAS Code	517
	[C] Discretion of the Panel to Refuse Evidence Based on Article R57 Paragraph 3 CAS Code	519
	[1] Scope and Rationale of the New Provision of Article R57 Paragraph 3 CAS Code	519

Table of Contents

	[2] Some Issues Related to the Application of Article R57 Paragraph 3 CAS Code	520
	[D] Other Limits in the CAS' Full Power of Review	522
V	The Hearing	524
	[A] General Remarks on the Preparation for the Hearing and the Conduct of the Hearing	524
	[B] Conduct of the Hearing in Appeal Procedures	525
	[C] Hearing in the Absence of One of the Parties and Respect of the Parties' Right to Be Heard	528
VI	Annexes	529
	[A] Example of an Order of Procedure in the Appeal Arbitration Procedure	529
	[B] Directions with Respect to CAS Hearings – Checklist	532
	[C] Hearing Schedule Template	533
	 R58	
	Law Applicable to the Merits	535
I	Article R58: Introduction	536
	[A] Short History of Article R58 through the Amendments of the CAS Code	536
	[B] Law Applicable to the Merits according to Article 187 PILA, Article R58 of the Code and Other Arbitration Rules	538
II	Applicable Law Chosen by the Parties	540
	[A] Applicable Law Chosen by the Parties according to Article 187 (1) PILA and Article R58	540
	[1] General	540
	[2] No State or National Law Chosen by the Parties	541
	[B] Form of the Choice of the Applicable Law according to Article R58	542
	[1] Mutatis Mutandis Application of Article 116 PILA?	542
	[2] Tacit Choice of Law according to the CAS Case Law	543
	[3] Express Choice of Law according to the CAS Case Law	546
	[C] Limits in the Freedom of the Parties to Choose the Applicable Law	547
III	Law of the Federation, Association or the Sports-Related Body and 'the Closest Connection' with the Dispute	550
	[A] General Remarks	550
	[B] Dépeçage	551
	[C] CAS Awards Applying the Law of the Federation, Association or the Sports-Related Body	551
	[1] FIFA Statutes and FIFA Regulations	551
	[2] Doping Issues, FIFA Statutes and the WADA Code	553

Table of Contents

IV	Choice of the Law That the Panel Deems Appropriate and Obligation to Give Reasons for Such Decision: Difference from <i>Ex Aequo et Bono</i> or Equity	555
V	Consequences of the Violation of Article R58 and Appeal to the SFT	556
R59		
Award		559
I	Article R59: Introduction	561
II	Finality of the Award and Admissibility of the Appeal to the SFT	562
	[A] Article 190 Paragraph 2 (a) PILA	564
	[B] Article 190 Paragraph 2 (b) PILA	568
	[1] Scope and General Conditions of Article 190 Paragraph 2 (b) PILA	568
	[2] Interpretation of an Arbitration Clause according to the SFT	571
	[3] Limits to CAS Jurisdiction	573
	[C] Article 190 Paragraph 2 (c) PILA	574
	[D] Article 190 Paragraph 2 (d) PILA	576
	[1] Scope of Article 190 Paragraph 2 (d) PILA	576
	[2] Applicable Law and Violation of the Parties' Right to Be Heard	578
	[E] Article 190 Paragraph 2 (e) PILA	581
	[1] Introduction	581
	[2] Jurisprudence of the SFT on the Violation of Public Policy	582
	[F] Finality and Possibility of Revision of the CAS Award	586
III	Time Limits to Render the Award in Appeal Proceedings	587
IV	Confidentiality of the Award Rendered by the CAS	588
V	Annexes	589
	[A] Table with All Motions to Set Aside CAS Awards before the SFT Based on Article 190 Paragraph 2 PILA	589
	[B] Grounds for Dismissal of Motions to Set Aside CAS Awards before the SFT	596
	[C] Outcome of Motions to Set Aside CAS Awards before the SFT	596
	[D] Grounds for Challenge of CAS Awards before the SFT	597
	[E] Provisions of the CAS Code Discussed in SFT Judgments	598
D	Special Provisions Applicable to the Consultation Proceedings	601
R60-62 and R66		
[Abrogated]		603
I	Articles R60-R62 and R66 [Abrogated]	603
E	Interpretation	605

Table of Contents

R63		
Interpretation		607
I	Article R63: Introduction	608
II	Procedure of Interpretation of CAS Awards	609
	[A] Conditions	609
	[B] Decision on the Request for Interpretation	611
III	Annex	612
	[A] Decision on Request for Interpretation: CAS 2006/A/1117 C. FC <i>v. E. FCE FC</i>	612
F	Costs of the Arbitration Proceedings	615
R64		
Costs of the Arbitration Proceedings – General		617
I	Article R64: Introduction	620
II	Arbitration Costs to Be Borne by the Parties according to Article R64	620
	[A] Costs of Arbitration	620
	[B] The CAS Court Office Fee	622
	[C] Costs of Witnesses, Experts and Interpreters	623
III	Calculation and Payment of the Advance of Costs	623
IV	Termination of the Arbitration Procedure / CAS Award and Arbitration Costs	626
	[A] Termination before the Constitution of the Panel and Costs	626
	[B] Determination of the Party Bearing the Arbitration Costs / Fees in the Award (Article R64.5)	626
V	Annexes	630
	[A] Termination Order Due to Non-payment of the Advance of Costs	630
	[B] Award on Costs	631
	[C] Arbitrators’ Costs and Fees: Guidelines for the Costs of the Arbitrators	636
R65		
Appeals against Decisions Issued by International Federations in Disciplinary Matters		639
I	Article R65: Introduction	641
II	Conditions for a Procedure to Be Free of Charge under Article R65.1	641
III	Exceptions to the Free of Charge Rule under Article R65.4	642
IV	Costs That Still Need to Be Borne by the Parties according to Article R65.2	643
G	Miscellaneous Provisions	645

Table of Contents

R67-R70	
Miscellaneous Provisions	647
I Article R67: Transitional Provisions and Entry into Force of the CAS Rules	648
II Article R68: Disclaimer regarding the Work of CAS Arbitrators, CAS Mediators, ICAS and Its Members, CAS and Its Employees	649
III Article R69: Authentic Version of the CAS Rules	651
IV Article R70: Amendment of the CAS Procedural Rules	651
Bibliography	653
List of CAS Awards Referred to in the Commentary	667
CAS Awards from the CAS Ad Hoc Divisions	687
Swiss Federal Tribunal Judgments	689
Index	697